

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hideo SUZUKI, et al.

Serial No.: Not Yet Assigned

Filing Date: Concurrently herewith

For: METHOD AND APPARATUS FOR  
EDITING PERFORMANCE DATA  
WITH MODIFICATIONS OF ICONS OF  
MUSICAL SYMBOLS

Examiner: Not Yet Assigned

Group Art Unit: 2173

Parent Serial No.: 09/666,364

Parent Filing Date: September 20, 2000

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or cited in an Office Action, directed to the related application Serial Number 09/666,364, filed September 20, 2000. Therefore, only copies of the foreign prior art and non-patent references are enclosed herewith (the first page of the U.S. patents are also enclosed). This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☒ With the divisional application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 393032019712. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 12, 2003

Respectfully submitted,

By: 

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|--|---------------------------------------|-------------------------------------|
| <b>Form PTO-1449</b><br><br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br><i>(Use several sheets if necessary)</i> | Docket Number 39303.2019712           | Application Number Not Yet Assigned |
|  | Applicant<br><br>Hideo SUZUKI, et al. |                                     |
|  | Filing Date Concurrently herewith     | Group Art Unit 2173                 |
|  | Mailing Date November 12, 2003        |                                     |

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name                 | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|----------------------|-------|----------|----------------------------|
|                   | 1.       | 07/08/1997 | 5,646,362    | M. Koyama, et al.    |       |          |                            |
|                   | 2        | 03/20/1999 | 5,889,519    | J. Boezeman, et al.  |       |          |                            |
|                   | 3.       | 02/29/2000 | 6,031,529    | C. Migos, et al.     |       |          |                            |
|                   | 4.       | 12/12/2000 | 6,160,213    | R. Arnold, et al.    |       |          |                            |
|                   | 5.       | 12/26/2000 | 6,166,314    | F. Weinstock, et al. |       |          |                            |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------|---------|-------|----------|--------------------|
|                   | 6.       | 05/25/1993 | 05-127673    | Japan   |       |          | Abstract           |
|                   | 7.       | 01/10/1997 | 09-006346    | Japan   |       |          | Abstract           |
|                   | 8.       | 08/11/1998 | 10-214083    | Japan   |       |          | Abstract           |

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title   |
|-------------------|----------|---|
|                   | 9.       | J. Aikin, "Emagic Notator Logic Sequencing Software (Macintosh)", KEYBOARD 19(10):120-121 and 123-128, 1993 |
|                   | 10.      | E. Rideout, "Cakewalk Metro 4.5", KEYBOARD 25(1):84, 86 and 88-90; 1999                                     |

EXAMINER: (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.